

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Kenneth W. Hunter, et al.**

Docket No.

Immusonic-004

Serial No.

09/707,582

Filing Date

November 6, 2000

Examiner

Jones, Dwayne C.

Group Art Unit

1614

OFFICE
MAY 17 2004
PATENT & TRADEMARK OFFICE

**THE USE OF B 1,3-GLUCAN-CONTAINING COMPOSITIONS TO POTENTIATE IMMUNE RESPONSES
BY UPREGULATING THE EXPRESSION OF COSTIMULATORY MOLECULES**

I hereby certify that the following correspondence:

Transmittal Letter (General - Patent Pending) and all documents referenced therein

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

17 May 2004
(Date)

Matt Robinson*(Typed or Printed Name of Person Mailing Correspondence)*


(Signature of Person Mailing Correspondence)

EL 921419132 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
Immusonic-004

In Re Application Of: **Kenneth W. Hunter, et al.**

Serial No.
09/707,582

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Jones, Dwayne C.

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1614

**THE USE OF B 1,3-GLUCAN-CONTAINING COMPOSITIONS TO POTENTIATE IMMUNE RESPONSES
BY UNREGULATING THE EXPRESSION OF COSTIMULATORY MOLECULES**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is: **Return Postal Card;**

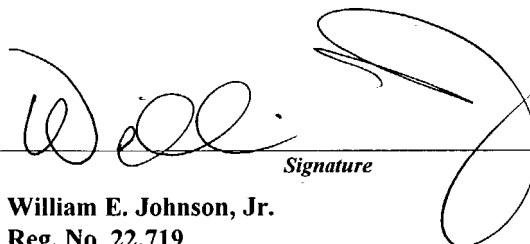
Certificate of Express Mailing (Label No. EL 921419132 US);

Amendment Transmittal Letter (Small Entity); and

Amendment and Response to Notice of Non-Compliant Amendment (with Current Listing of Claims and Remarks).

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **13-2166**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



Signature

William E. Johnson, Jr.

Reg. No. 22,719

THE MATTHEWS FIRM (Cust. No. 021897)

1900 West Loop South, Suite 1800

Houston, Texas 77027

Telephone - 713-355-4200

Facsimile - 713-355-9689

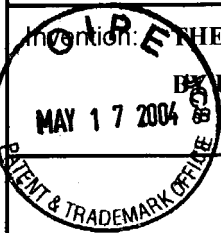
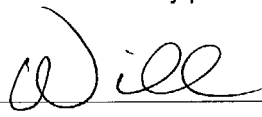
Dated: **5/17/04**

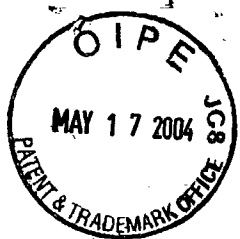
I certify that this document and fee is being deposited
on _____ with the U.S. Postal Service as
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. Immusonic-004	
Applicant(s): Kenneth W. Hunter, et al.					
Serial No. 09/707,582	Filing Date November 6, 2000	Examiner Jones, Dwayne C.		Group Art Unit 1614	
<div style="float: left; width: 15%; text-align: center;">  </div> <div style="float: right; width: 85%;"> INVENTION: THE USE OF B 1,3-GLUCAN-CONTAINING COMPOSITIONS TO POTENTIATE IMMUNE RESPONSES BY UPREGULATING THE EXPRESSION OF COSTIMULATORY MOLECULES </div>					
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application.					
<input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.					
<input type="checkbox"/> A verified statement to establish Small Entity status under 37 CFR 1.27 is enclosed.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	37 -	37 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	4 -	4 =	0 x	\$43.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____					
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-2166					
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 _____ <i>Signature</i>			Dated: 5/17/04		
William E. Johnson, Jr. Reg. No. 22,719 THE MATTHEWS FIRM (Cust. No. 021897) 1900 West Loop South, Suite 1800 Houston, Texas 77027 Telephone - 713-355-4200 Facsimile - 713-355-9689			I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
CC:			<i>Signature of Person Mailing Correspondence</i>		
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ATTORNEY DOCKET No.: IMMUSONIC-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kenneth W. Hunter et. al.

Serial No.: 09/707,582

Art Unit: 1614

Filed: November 6, 2000

Examiner: Jones, Dwayne C.

For: **The Use of β 1,3-Glucan-Containing Compositions to Potentiate Immune Responses by Upregulating the Expression of Costimulatory Molecules**

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

AMENDMENT AND
RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Sir:

Responsive to the Notice of Non-Compliant Amendment dated May 10, please amend the above-identified application as follows: